

# **Gibraltar Investor Compensation Scheme**

## **Survey of Potential Compensation**

**[name of investment firm]**

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### **Auditors' Certificate**

As requested by the Gibraltar Investor Compensation Board, we have examined the attached Survey of Potential Compensation ("the Survey") as at [date] which has been prepared by [name of investment firm] ("the Investment Firm").

### **Directors' Responsibilities**

The directors of the Investment Firm are responsible for keeping proper records in a manner prescribed by the Financial Services (Markets in Financial Instruments) Regulations 2007 and are required to report to the Board as to the total potential compensation that could be paid by the Board in respect of the Investment Firm under the Financial Services (Investor Compensation Scheme) Act 2002.

### **Basis of Opinion**

We have examined, on a test basis, the evidence relevant to the amounts and disclosures in the Survey.

We planned and performed our work so as to obtain all the information and explanations which we considered necessary in order to provide us with sufficient evidence to give reasonable assurance that the Survey is free from material misstatement.

### **Assurance**

Nothing has come to our attention during the course of our work which would indicate that the attached Survey requires modification, and the information required to be disclosed has been correctly presented.

**Signed:**

**Name:**

**Date:**